Benchmarking Automatic Speech Recognition coupled LLM Modules for Medical Diagnostics

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Abstract

Natural Language Processing (NLP) and Voice Recognition agents are rapidly evolving healthcare by enabling efficient, accessible, and professional patient support while automating grunt work. This report serves as my self project wherein models finetuned on medical call recordings are analysed through a two-stage system: Automatic Speech Recognition (ASR) for speech transcription and a Large Language Model (LLM) for context-aware, professional responses. ASR, finetuned on phone call recordings provides generalised transcription of diverse patient speech over call, while the LLM matches transcribed text to medical diagnosis. A novel audio preprocessing strategy, is deployed to provide invariance to incoming recording/call data, laden with sufficient augmentation with noise/clipping to make the pipeline robust to the type of microphone and ambient conditions the patient might have while calling/recording. Find the deployed pipeline here: <https://huggingface.co/spaces/Kabir259/medspeechrec>

1 Introduction

In the critical field of healthcare, accurate medical transcription is far more than an administrative task—it is fundamental to effective patient care and informed treatment planning [1, 2]. Automatic Speech Recognition (ASR) systems, increasingly adopted in clinical environments, are designed to convert spoken interactions into precise written records [2, 3]. However, these systems face persistent challenges. Capturing the intricacies of medical conversations, which often include diverse accents, regional dialects, and specialized medical terminology, remains a significant hurdle [4, 5]. The complexity is heightened in clinical settings, where accurately interpreting subtle expressions and technical terms is vital to ensuring clarity and precision in patient records.

Errors in medical ASR systems are diverse and problematic, ranging from misinterpreted drug names and dosages to incorrect lab values, anatomical confusions, age and gender mismatches, and even wrong doctor names or dates [6]. Additional issues include the generation of nonsensical words, as well as omissions and duplications [6, 7]. These inaccuracies can have serious implications, potentially compromising patient diagnoses and treatment decisions [8]. Overcoming these limitations requires innovative solutions beyond the current capabilities of traditional ASR systems.

Large Language Models (LLMs), trained on massive text datasets, these models exhibit an exceptional ability to understand, contextualize, and interpret language with high precision [9, 10]. Recent research has explored integrating LLMs with audio encoders for direct speech recognition, expanding their potential applications in ASR and opening new possibilities for addressing these critical challenges [11, 12, 13].

Poor-quality call recordings, often affected by noise, clipping, and compression, significantly degrade ASR performance, leading to inaccurate transcriptions and reduced reliability [14,15]. Addressing these challenges requires effective audio signal processing, particularly through filtering and equalization techniques [16].

Low-pass and high-pass filters in digital signal processing (DSP) have proven effective in isolating

Figure 1: Proposed Framework

critical speech frequencies while suppressing unwanted noise [15,17]. Low-pass filtering reduces high-frequency noise, while high-pass filtering minimizes low-frequency hums [16,17]. Equalization techniques further enhance audio intelligibility by correcting uneven frequency responses, particularly common in compressed or distorted call recordings[14].

Research highlights the direct benefits of these techniques for ASR systems, showing improved transcription accuracy by mitigating noise, clipping, and other distortions [14,15]. By enhancing the clarity of call recordings, these DSP methods optimize audio for ASR processing, addressing the unique challenges of noisy and compressed environments [16].

2 Problem Formulation

Given a noisy audio signal S , the denoising process transforms it into a denoised signal S' :

$$
S' = \text{denoising}(S)
$$

The denoised signal S' is further processed through an equalization step to apply specific filtering operations, resulting in the cleaned audio signal S'' :

 $S'' =$ equalized(S')

where the equalization process applies the following filters:

- A high-pass filter centered at 250 Hz,
- A low-pass filter centered at 11,000 Hz,
- A high-shelf filter centered at 4,000 Hz.

Let the cleaned audio signal S_0 be:

$$
S_0=S''
$$

The cleaned audio signal S_0 is input to the ASR system, which produces a sequence of transcribed words $\{T_i\}_{i=1}^N$, where each T_i represents a word in the transcribed sentence:

$$
\{T_i\}_{i=1}^N = \text{ASR}(S_0)
$$

The transcribed sentence T, composed of $\{T_i\}_{i=1}^N$, is then passed to a language model (LLM) for classification, resulting in a label L :

$$
L = \text{LLM}(T)
$$

Objective

The objective is to optimize the process such that the label L accurately reflects the intended classification, minimizing the error introduced by noise in S and ensuring robust performance of the ASR and LLM systems. Latency should be minimal.

3 Methodology

3.1 Audio PreProcessing

3.1.1 Noise

In acoustic terms, noise is typically associated with high-frequency disturbances and stereo imbalances. However, call recordings are inherently converted to mono signals during transmission, as telecommunication systems prioritize bandwidth efficiency and interpretability. This conversion reduces stereo artifacts but retains high-frequency disturbances, which may interfere with Automatic Speech Recognition (ASR) systems. Additionally, mono signals make noise components easier to process but leave the signal susceptible to other forms of distortion.

3.1.2 Clipping

Low-frequency noise, or "rumble," is a significant contributor to distortion in audio signals. As rumble is inherently mono, low frequencies cannot exhibit stereo characteristics due to phase cancellation issues; any stereo representation of such frequencies would result in destructive interference. When rumble occupies a large portion of the signal, especially in recordings made with cheap consumer grade microphones(as the ones present in our phones), some even featuring bass-boost technology, it can overwhelm the system's dynamics. This often leads to distortion, where the rumble's waveforms flatten or "clip" into square-like shapes upon hitting the volume ceiling or limiter. Expensive microphones are designed to be more sensitive to high frequencies while managing low-frequency handling, but cheap alternatives exacerbate this problem, leading to degraded audio quality.

3.1.3 Can Equalization Remove Both Noise and Clipping?

Equalization offers an effective solution for addressing static noise and clipping in call recordings. A high-pass filter can block low-frequency rumble, significantly reducing its interference with the signal. Similarly, a low-pass filter can eliminate static high-frequency noise, such as hiss or transmission artifacts, which are common in compressed call recordings. Music producers often use an additional high-shelf filter to enhance vocal clarity, boosting high frequencies by a few decibels to introduce "shimmer" and improve perceived audio quality. This technique is adapted here to clean spoken audio for further use in the ASR model.

3.1.4 On AI Denoising Models

While equalization can address static noise—largely caused by poor equipment or transmission dynamic noise presents a more complex challenge. Dynamic noise, such as crowd chatter or ambient environmental sounds, shifts across the frequency spectrum, making it harder to isolate with simple filtering techniques. In these cases, AI-driven denoising models are much better. These models can adapt to varying noise profiles, identifying and suppressing unwanted components in real-time without compromising the primary signal. This capability makes AI denoising better compared to traditional equalization. However in our case, it is assumed that noise remains static (only due to recording and transmission artifacts). Use of AI models, however add latency to the pipeline which makes it slow.

3.2 ASR

Whisper, trained on a large, supervised multilingual and multitask dataset, delivers robust out-of-thebox transcription, excelling in noisy and diverse environments, such as medical dictations. In contrast, wav2vec 2.0 uses self-supervised learning to extract speech representations from unlabeled data, requiring fine-tuning to adapt to domain-specific tasks like medical terminology. Whisper provides immediate usability without additional training. With minimal finetuning (albeit longer training

times), Whisper outperforms (check results) wav2vec 2.0 as it is has a transformer based architecture, which is magnitudes better than a CNN based archtiecture like that of wav2vec 2.0.

3.3 LLM

Qwen2 and Llama3 are both famous open source LLMs, however I choose to use Qwen2 due to:

Table 1: Comparison between Qwen2 and LLama3

Other parameters like pricing etc. are similar for both models. The main differentiating factor for choosing Qwen2 is its better [speed](https://aimlapi.com/comparisons/qwen-2-vs-llama-3-comparison) and its [performance in NLP tasks](https://www.leadergpu.com/articles/532-qwen-2-vs-llama-3) as compared to LLama3.

4 Experiments

4.1 Dataset

The [Medical Speech, Transcription, and Intent](https://www.kaggle.com/datasets/paultimothymooney/medical-speech-transcription-and-intent) Dataset is used as mentioned.

There are multiple fields for each sample detailing the Quietness, Clipping and Noise in the corresponding audio snippet of the entry. We crop this information out as the Audio PreProcessor module handles that on its own.

4.1.1 ASR

For finetuning the ASR module, irrelevant fields were removed and we were left with a consolidated representation of the dataset in dictionary format which looked like this:

```
DatasetDict ({
    train: Dataset ({
        features: ['text', 'audio'],
        num_rows : 381
    })
    test: Dataset ({
        features: ['text', 'audio'],
        num_rows : 5895
    })
    validate : Dataset ({
        features: ['text', 'audio'],
        num_rows : 385
    })
})
```
where the text field corresponds to the *phrase* field in the original csv file and the audio field is extracted from the subdirectory given.

4.1.2 LLM

Similarly, for finetuning the LLM module,the dataset in dictionary format which looked like this:

```
DatasetDict ({
   train : Dataset ({
        features: ['sentence', 'label'],
        num_rows : 381
```

```
})
    test: Dataset ({
        features: ['sentence', 'label'],
        num_rows : 5895
    })
    validate : Dataset ({
        features: ['sentence', 'label'],
        num_rows : 385
    })
})
```
where the sentence field corresponds to the *phrase* field and the label field corresponds to the *prompt* field in the original csv file.

This was then transformed to the [Alpaca format](https://huggingface.co/datasets/tatsu-lab/alpaca) which is required for Qwen2/LLama3 to be trained on. It looks like:

```
Dataset ({
    features: ['instruction', 'input', 'output', 'text'],
    num_rows : 999
})
```
and every sample looks like:

```
alpaca_prompt = """ Given a sentence generated via a Speech to Text model ,
clean the sentence grammatically and make it sound natural . Then classify
the speaker's medical conditon in the given sentence.
### Instruction :
{}
### Input :
{}
### Response :
{}
<| endoftext | >"""
```
4.2 Parameter Tuning and Libraries

4.2.1 ASR

For ASR, a learning rate of 5e-5 , weight decay of 0.005, and constant, linear and cosine learning rate schedule were experimented with. The models usually got finetuned in 1500-2000 steps. Warmup steps, set to 200 (approximately 10% of the 1,000 total training steps).

Training was conducted using mixed-precision (fp16) to address limited computational resources. Mixed-precision training significantly reduces memory consumption and computational load, enabling faster processing and larger batch sizes. Most operations in fp16 are sufficient for convergence while critical steps are retained in fp32.

The word error rate (WER) was selected from the jiwer library as the primary evaluation metric due to its direct relevance to ASR tasks. Unlike general-purpose metrics such as accuracy or F1 score, WER evaluates transcription quality by measuring the total number of insertions, deletions, and substitutions required to match predicted transcriptions with ground truth, keeping in mind minor transcription inaccuracies can significantly impact usability.

4.2.2 LLM

For the LLM, I used the unsloth library to significantly speed up the training process. I ran it for 20 epochs with 5 warmup steps with a linear learning rate sceduler and used LoRA PEFT method. Since I had more leeway with compute here (due to the training library I used), I opted for bf16 training instead of fp16 training (I didn't increase the epochs/steps or learning rate as the model started oscillating in loss later on). bf16 is better than fp16 as it has a wider dynamic range due to its 8-bit exponent (compared to fp16's 5-bit), allowing it to handle larger and smaller values more effectively without overflow or underflow.

4.3 Results

4.3.1 ASR

Table 2: Results for ASR(Whisper and wav2vec 2.0)

The Whisper model is extremely compute intensive(even the small 3B version) however, gives the best results as shown. A performance of 21.3% WER with minimal fitnetuning in Whisper indicated that with dedicated finetuning with more data and compute, it can quickly scale up to be an industry ready model with high reliability. Whisper, can quickly learn new accents and dialects and can learn to differentiate speech in noisy input, making its finetuning all the more worthwhile. This goes to shows how Whisper is the best ASR model for our use case, owing to its huge training library and transformer architecture.

It is interesting to note that without finetuning, no model, either ASR or LLM is able to perform on the dataset. wav2vec2-BERT shows a significant improvement over wav2vec2 base both in terms of WER and time taken to finetune, however, can't match Whisper. Finetuning Whisper is effective and excellent in handling domain-specific ASR tasks such as

Medical Speech Recognition, given enough compute, time and data.

4.3.2 LLM

The LLM model needs to get fine-tuned in order to generate one-word label classifications. Otherwise it will just start responding like a general LLM.

The training was extremely fast due to LoRA. The reason I opted for such low steps was due to the oscillating loss of the model when I ran it for 200 steps earlier. The low validation accuracy shouldn't be considered 'that' dreadful as many sentences wrongly classified logically seem to fit those labels to some extent too! (*the issue lies with lack of data, as LLMs are data hungry models*).

Table 4: Excerpt of the inference results for the LLM classifier

Check ./Benchmarking/QWEN2_inf+val.ipynb for inference and validation results.

5 Conclusion

This report demonstrates the integration of ASR systems, such as Whisper and wav2vec 2.0, with LLMs like Qwen2 for medical speech recognition and diagnostics. Whisper's transformer-based architecture and extensive training dataset enable it to address challenges posed by noisy environments and the linguistic complexities of medical contexts effectively.

It is found that Qwen2 is suitable for contextualizing transcribed speech in classification tasks, supported by its processing speed, extended context length, and multilingual capabilities. While currently applied to label classification, the scalability of such LLMs suggests potential for further development into conversational agents or chatbots capable of assisting in medical consultations and streamlining healthcare workflows.

6 References

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